

2017-18 ICR Benchmarking System Report

Summary

- For 2017-18, the campus average indirect cost recovery per assignable square foot (ICR/ASF), which the campus uses to evaluate research space productivity, was \$172.
- All control points exceeded the \$120 productivity target and all four schools exhibited increased productivity, but results show variation at the department level.
- The 2017-18 results represent a 3.7% increase over the prior year (\$166 ICR/ASF), due to ICR growth of \$6.5 million (+2.6%) combined with the total research space envelope decreasing by 15,462 ASF (-1.0%).
- Space coded as “PI assignment pending” declined by 18,246 ASF (-7.8%). “PI assignment pending” space now represents 14.5% of the total space, down from 15.6% in the prior year.
- No new facilities opened over the last year. The reduction in research space was concentrated, primarily at Laurel Heights, Laurel Annex, Surge, and ZSFG Building 5.

2017-18 Results and Comparison to Prior Year

- ICR Core Academic Departments (departments within the Schools of Dentistry, Medicine, Nursing and Pharmacy; Proctor, Global Health, and QB3) generated \$256.7 million of indirect costs (actual expenditures) in a total research envelope of 1.49 million ASF.
- The results for the School of Medicine continue to drive the overall results for the campus. The School of Medicine generated \$224.1 million of indirect cost recovery (87% of total) over 1.27 million ASF of research space (85% of total).
- The School of Medicine had the highest productivity measure, generating \$177 of indirect cost recovery per assignable square foot.
- Notably, the School of Nursing generated \$173 ICR/ASF reversing a declining trend. The metric for the school had fallen over the past three years, from \$194 in 2014-15 to \$179 in 2015-16 to \$164 in 2016-17.
- The 4% increase over the prior year is due to ICR growth of \$6.5 million (+3%) combined with a small decrease in the total research space envelope of 15,462 ASF (-1%).
- The ICR/ASF result for all of the Schools showed an improvement over the prior year, with the School of Dentistry at the highest % year over year gain of 7%.
- Summary of 2017-18 results and comparison to prior year are shown in the following two tables:

2017-18 Results

University of California, San Francisco

Sponsored ICR & Space Benchmark Home View Report

Control Point Summary - PI Count

ICR Core Departments

Fund Source: All Sponsored Funds

CONTROL POINT	PI Count	ASF	MTDC	TDC	ICR	MTDC/ASF	TDC/ASF	ICR/ASF	ICR/MTDC	ICR/TDC
FY ending Jun 30, 2018										
D_School of Dentistry	88	59,143	\$18,900,192	\$21,828,485	\$9,031,541	\$320	\$369	\$153	47.8%	41.4%
M_School of Medicine	2,147	1,265,817	824,406,123	1,005,866,116	224,096,511	651	795	177	27.2%	22.3%
N_School of Nursing	111	26,166	15,387,006	18,924,405	4,535,805	588	723	173	29.5%	24.0%
P_School of Pharmacy	91	126,551	50,787,573	61,340,343	17,563,061	401	485	139	34.6%	28.6%
Z_Other Academic Units	18	11,558	3,786,375	5,998,751	1,515,938	328	519	131	40.0%	25.3%
Grand Total	2,455	1,489,235	\$913,267,269	\$1,113,958,099	\$256,742,856	\$613	\$748	\$172	28.1%	23.0%

Glossary:

ICR core departments: Departments within the Schools of Dentistry, Medicine, Nursing and Pharmacy; Proctor, Global Health, and QB3

Faculty PIs: Based on Office of Academic Personnel criteria

PI Home Department: Based on Employee Database (EDB) tables at Fiscal Year close

PI Count: A PI is assigned a count of 1 only in his/her Home Department regardless of which department owns the financial activity or space

ASF: Office space assigned to PIs with active sponsored financial activity and all laboratory space (wet, dry, and support space)

ASF and ICR by School/Unit - 2017-18 versus 2016-17

CONTROL POINT	2017-18 Results			2016-17 Results			Year over Year % Change		
	ASF	ICR	ICR/ASF	ASF	ICR	ICR/ASF	ASF	ICR	ICR/ASF
D_School of Dentistry	59,143	\$9,031,541	\$153	59,760	\$8,515,952	\$143	-1.0%	6.1%	7.2%
M_School of Medicine	1,265,817	224,096,511	177	1,283,307	218,503,303	170	-1.4%	2.6%	4.0%
N_School of Nursing	26,166	4,535,805	173	25,216	4,131,955	164	3.8%	9.8%	5.8%
P_School of Pharmacy	126,551	17,563,061	139	127,754	17,591,220	138	-0.9%	-0.2%	0.8%
Z_Other Academic Units	11,558	1,515,938	131	8,661	1,460,496	169	33.5%	3.8%	-22.2%
Grand Total	1,489,235	\$256,742,856	\$172	1,504,697	\$250,202,926	\$166	-1.0%	2.6%	3.7%

Department-Level Results

- Amongst departments with more than 5,000 ASF, the Department of Epidemiology and Biostatistics had the highest metric, generating \$696 per ASF.
- All department and individual PI results are available with the ICR Benchmarking System.
- The following table displays the Home View ASF, ICR and ICR/ASF calculations for individual departments. Departments are sorted within each school based on 2017-18 ICR/ASF results.
- Attachment One provides a comparison of Home View versus Owner View ICR/ASF results for 2017-18 and 2016-17. Definitions of Home View and Owner View are provided in the Appendix, Report Types section.

School of Medicine

Control Point, Department	ASF by FY		ICR by FY		ICR/ASF by FY		
	2017-18	2016-17	2017-18	2016-17	2017-18	2016-17	% change
M_School of Medicine							
M_Physical Therapy	387	387	\$ 441,932	\$ 458,506	\$ 1,143	\$ 1,186	-4%
M_Epidemiology & Biostatistics	22,151	20,452	15,407,903	12,752,947	696	624	12%
M_Anthro, History, Social Med	709	709	389,375	256,194	549	361	52%
M_Emergency Medicine	657	555	252,429	211,753	384	382	1%
M_Neurology	79,303	73,328	26,465,774	24,868,637	334	339	-2%
M_Otolaryngology	21,774	21,367	6,465,498	6,629,154	297	310	-4%
M_Diabetes Center	25,053	23,776	6,842,304	6,667,359	273	280	-3%
M_MEDICINE	228,953	229,236	58,374,687	58,337,544	255	254	0%
M_Urology	13,164	13,724	3,127,767	3,061,888	238	223	6%
M_Radiation Oncology	6,476	6,437	1,433,498	1,223,485	221	190	16%
M_Family Community Medicine	9,998	10,125	2,137,404	2,369,646	214	234	-9%
M_Dermatology	12,870	12,558	2,537,811	1,872,098	197	149	32%
M_Pathology	27,158	25,084	5,108,722	4,312,653	188	172	9%
M_Neurological Surgery	42,158	41,376	7,635,646	8,504,498	181	206	-12%
M_PEDIATRICS	50,443	62,683	9,050,435	8,655,207	179	138	30%
M_Radiology	43,951	45,799	7,880,805	8,155,878	179	178	1%
M_ObGyn, Reproductive Sciences	44,353	45,572	7,841,089	7,790,736	177	171	3%
M_Psychiatry	84,152	84,594	13,114,574	11,945,897	156	141	10%
M_Surgery	42,959	46,621	6,471,226	6,471,669	151	139	9%
M_IHPS (Health Policy Studies)	8,891	9,209	1,298,907	1,578,902	146	171	-15%
M_CMP (Cellular Molecular Pha)	47,139	46,057	5,623,858	5,047,793	119	110	9%
M_Orthopaedic Surgery	16,987	16,589	2,002,442	1,959,900	118	118	0%
M_Anesthesia	21,021	20,824	2,454,489	2,442,151	117	117	0%
M_Laboratory Medicine	27,843	27,383	2,908,161	3,105,685	104	113	-8%
M_Microbiology and Immunology	42,351	41,846	4,353,959	3,990,543	103	95	8%
M_Biochemistry and Biophysics	73,224	73,623	7,469,295	7,236,783	102	98	4%
M_Anatomy	30,734	33,707	3,082,946	3,930,724	100	117	-14%
M_Physiology	43,596	43,322	3,461,246	4,231,544	79	98	-19%
M_Cardiovascular Research Inst	70,111	72,224	5,443,082	5,548,100	78	77	1%
M_Ophthalmology	23,881	21,025	1,521,537	1,237,193	64	59	8%
M_HDF Comprehensive Cancer Ctr	34,669	34,140	2,105,392	2,270,678	61	67	-9%
M_IND (Neurodegenerative Dis)	28,145	32,648	963,313	772,802	34	24	45%
M_CTSI	2,041	1,745	32,035	14,854	16	9	84%
M_Dean's Office	24,752	33,301	328,841	433,493	13	13	2%
M_Ctr for Health & Community	513	833	1,508	10,702	3	13	-77%
M_Human Genetics	2,523	3,045	6,696	-	3	-	n/a
M_Osher Center	4,248	6,693	-	42,714	-	6	-100%
M_Bioengineering	-	-	46,951	-	n/a	n/a	n/a
M_Computational Health Scienc	-	-	12,975	102,990	n/a	n/a	n/a
M_Graduate Med Science Unit	-	-	-	-	n/a	n/a	n/a
M_Hooper Foundation	1,292	712	-	-	-	-	n/a
M_Regeneration Medicine	5,188	-	-	-	-	n/a	n/a
M_School of Medicine Total	1,265,817	1,283,307	\$ 224,096,511	\$ 218,503,303	\$ 177	\$ 170	4.0%

School of Dentistry

Control Point, Department	ASF by FY		ICR by FY		ICR/ASF by FY		
	2017-18	2016-17	2017-18	2016-17	2017-18	2016-17	% change
D_School of Dentistry							
D_OMFS	1,344	1,046	\$ 697,361	\$ 705,629	\$ 519	\$ 675	-23%
D_Preventive & Restor Dent Sci	6,628	6,584	2,271,924	2,459,578	343	374	-8%
D_Cell and Tissue Biology	22,767	22,767	3,963,916	3,389,803	174	149	17%
D_OFS	12,907	12,637	2,098,340	1,960,942	163	155	5%
D_Dean's Office	14,880	16,110	-	-	-	-	n/a
D_Predocloral Clinics	617	617	-	-	-	-	n/a
D_School of Dentistry Total	59,143	59,760	\$ 9,031,541	\$ 8,515,952	\$ 153	\$ 143	7.2%

Schools of Nursing, Pharmacy, and Other Academic Units and Grand Totals

Control Point, Department	ASF by FY		ICR by FY		ICR/ASF by FY		
	2017-18	2016-17	2017-18	2016-17	2017-18	2016-17	% change
N_School of Nursing							
N_Community Health Systems	2,068	1,401	\$ 778,277	\$ 778,023	\$ 376	\$ 555	-32%
N_Family Health Care Nursing	1,175	1,175	396,709	334,684	338	285	19%
N_Physiological Nursing	6,140	6,246	1,314,236	1,286,224	214	206	4%
N_Social Behavioral Sciences	4,690	4,292	708,067	620,649	151	145	4%
N_Institute for Health Aging	11,945	11,247	1,338,517	1,109,368	112	99	14%
N_Dean's Office	148	856	-	3,008	-	4	-100%
N_School of Nursing Total	26,166	25,216	\$ 4,535,805	\$ 4,131,955	\$ 173	\$ 164	5.8%
P_School of Pharmacy							
P_Clinical Pharmacy	3,771	6,296	\$ 1,737,291	\$ 1,715,994	\$ 461	\$ 273	69%
P_Bioengineering	49,881	49,557	8,215,051	7,877,031	165	159	4%
P_Pharmaceutical Chemistry	72,899	71,901	7,556,179	7,694,807	104	107	-3%
P_Dean's Office	-	-	54,540	303,387	n/a	n/a	n/a
P_School of Pharmacy Total	126,551	127,754	\$ 17,563,061	\$ 17,591,220	\$ 139	\$ 138	0.8%
Z_Other Academic Units							
H_Global Health Sciences	64	64	\$ 170,965	\$ 299,723	\$ 2,671	\$ 4,682	-43%
E_QB3 Quantitative Biosci	3,395	497	466,505	68,044	137	137	0%
E_Proctor Foundation	8,100	8,100	878,468	1,092,729	108	135	-20%
Z_Other Academic Units Total	11,558	8,661	\$ 1,515,938	\$ 1,460,496	\$ 131	\$ 169	-22.2%
Grand Total	1,489,235	1,504,697	\$ 256,742,856	\$ 250,202,926	\$ 172	\$ 166	3.7%

Space by Room Use

- The amount of total research space decreased by 15,462 ASF (-1.0%) in 2017-18. Declines by specific room type are shown in the following table:

Room Use (HEGIS code)	Research Space ASF		Year over Year Change	
	2017-18	2016-17	ASF	%
Research Lab (210)	564,763	578,787	(14,024)	-2.4%
Research Lab Service (225)	449,602	445,112	4,490	1.0%
Wet Lab Subtotal	1,014,365	1,023,899	(9,534)	-0.9%
Research Office (211)	166,047	168,708	(2,661)	-1.6%
Research Office Service (226)	46,171	47,167	(996)	-2.1%
Dry Lab Subtotal	212,218	215,875	(3,657)	-1.7%
Academic Office (310)	126,127	125,272	855	0.7%
Office Service (320)	21,410	22,080	(670)	-3.0%
Other Office (335)	115,116	117,572	(2,456)	-2.1%
Offices Subtotal	262,653	264,924	(2,271)	-0.9%
Grand Total	1,489,235	1,504,697	(15,462)	-1.0%

PI Assignment Pending by Control Point Owner of Space

- PI Assignment Pending space declined by 18,246 ASF year over year, as shown in the table below.
- Presumably, the decline can be attributed to improved reporting in the Archibus system.
- The reduction in PI Assignment Pending does not affect the ICR/ASF metric at the school or department level – only at the PI detail level.

Control Point	Assignment Pending ASF		Year over Year Change	
	2017-18	2016-17	ASF	%
D_School of Dentistry	18,189	18,706	(516)	-2.8%
M_School of Medicine	179,850	197,352	(17,502)	-8.9%
N_School of Nursing	238	869	(631)	-72.6%
P_School of Pharmacy	11,223	13,717	(2,494)	-18.2%
Z_Other Academic Units	6,499	3,602	2,897	80.4%
Grand Total	216,000	234,246	(18,246)	-7.8%
<i>%AP Space of Total</i>	<i>14.5%</i>	<i>15.6%</i>		

Building ASF Comparison Year over Year

- The 15,462 ASF net reduction in research space occurred primarily at the following sites: Laurel Heights, Laurel Annex, Surge, and ZSFG Building 5.
- The following table shows the buildings where the space changed +/- 5% from the prior year space snapshot:

Building Name	Building ASF		Year over Year Change	
	2017-18	2016-17	ASF	%
Increase of 5% year over year				
MtZ 1701 Div	939	606	334	55.1%
MtZ Bldg A	2,888	2,182	705	32.3%
2233 Post St	839	742	97	13.1%
UC Hall	21,621	20,349	1,272	6.3%
Decrease of 5% year over year				
ACC Clinics	7,485	7,900	(415)	-5.3%
Mission Hall	31,941	34,493	(2,552)	-7.4%
350 PN Ave	877	952	(76)	-7.9%
Osher Center	5,592	6,188	(595)	-9.6%
Laurel Hts	72,611	81,306	(8,695)	-10.7%
Fresno MERC	214	251	(36)	-14.5%
Long Hosp	524	617	(93)	-15.1%
MtZ Bldg C	1,236	1,602	(366)	-22.8%
Millberry	2,052	2,777	(725)	-26.1%
ZSFG Bldg 5	15,109	20,762	(5,653)	-27.2%
ZSFG Bldg 90	1,266	1,989	(723)	-36.4%
Surge	955	4,038	(3,083)	-76.4%
625 Potrero	-	1,490	(1,490)	-100.0%
Laurel Annex	-	2,399	(2,399)	-100.0%

Caveats/Background

- Clinical trial ICR expenditures are included but clinical space utilized to conduct these trials is typically not included in the ICR Benchmarking System. For 2017-18, private clinical trials ICR totaled \$12.0 million.
- The ICR Benchmarking System looks specifically at academic space – space assigned or pending assignment to PIs in the four schools, the academic units within the EVCP organization (Proctor Foundation, and QB3) and Global Health Sciences. Beginning in 2015-16, space that is dedicated for use by a Campus Core, as defined by the Office of Research, Research Resource Program (RRP), is excluded from this calculation. Campus Cores provide services to the community at large beyond the immediate departments in which they are housed. Excluding Core space from department inventories more accurately reflects departmental ICR/ASF. For 2017-18, excluded research core space totaled 11,345 ASF, flat with the prior year total.
- The ICR Benchmarking System was developed to provide UCSF leadership and faculty with a standard approach for measuring performance of the space occupied by Principal Investigators (PIs) for research.
- The performance metric used to evaluate research space productivity is indirect cost recovery per assignable square foot (ICR/ASF). In 2014, the Campus Space Committee increased the research space productivity target in the Space Governance Policy from \$90 to \$120. This metric is not indexed to inflation or other factors and has remained at \$120 for the 2017-18 fiscal year. Control points can also use the metric to evaluate the productivity of individual departments and organized research units.
- As 2017-18 is only the third full year to utilize Archibus Space System data, results may be subject to error.
- A brief overview of the Benchmarking System is included in the appendix of this report, including report types available, sources of the data, and known limitations of the existing data.

Final Thoughts

- The results show continued year over year improvement in the ICR/ASF metric for the Campus, driven by Indirect Cost Recovery that continues to increase at a faster rate than our space. It is clear that the implementation of the ICR Benchmarking System has caused us to more closely examine the quality of the space data. It is hoped that the space data integrity will continue to improve going forward so that more detailed trend data and reporting can be developed, and used with further confidence going forward.

For any questions regarding the ICR Benchmarking System, please contact Jerome Sak (jerome.sak@ucsf.edu), the Functional Owner of the system.

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Attachment One – Home View versus Owner View Results

CONTROL POINT	Department	ICR/ASF			
		2017-18		2016-17	
		Home	Owner	Home	Owner
D_School of Dentistry	D_Cell and Tissue Biology	\$ 174	\$ 186	\$ 149	\$ 162
	D_Dean's Office	-	1	-	1
	D_Healthforce Center at UCSF	n/a	95	n/a	141
	D_OFS	163	297	155	296
	D_OMFS	519	519	675	675
	D_Predoctoral Clinics	-	-	-	-
	D_Preventive & Restor Dent Sci	343	343	374	406
D_School of Dentistry Total		\$ 153	\$ 140	\$ 143	\$ 140
M_School of Medicine	M_AIDS Research Institute	n/a	n/a	n/a	n/a
	M_Anatomy	100	94	117	114
	M_Anesthesia	117	119	117	119
	M_Anthro, History, Social Med	549	549	361	361
	M_Asthma Program	n/a	n/a	n/a	n/a
	M_Biochemistry and Biophysics	102	104	98	103
	M_Bioengineering	n/a	294	n/a	262
	M_Cardiovascular Research Inst	78	79	77	78
	M_CMP (Cellular Molecular Pha)	119	110	110	120
	M_Computational Health Scienc	n/a	1,885	n/a	n/a
	M_Ctr for Health & Community	3	939	13	854
	M_CTSI	16	467	9	716
	M_Dean's Office	13	10	13	5
	M_Dermatology	197	205	149	175
	M_Diabetes Center	273	338	280	335
	M_Emergency Medicine	384	472	382	414
	M_Epidemiology & Biostatistics	696	563	624	449
	M_Family Community Medicine	214	195	234	220
	M_Graduate Med Science Unit	n/a	n/a	n/a	n/a
	M_HDF Comprehensive Cancer Ctr	61	111	67	108
	M_Hooper Foundation	-	61	-	39
	M_Human Genetics	3	157	-	84
	M_IHPS (Health Policy Studies)	146	190	171	211
	M_IND (Neurodegenerative Dis)	34	168	24	171
	M_Laboratory Medicine	104	122	113	112
	M_MEDICINE	255	266	254	269
	M_Microbiology and Immunology	103	141	95	126
	M_Neurological Surgery	181	184	206	203
	M_Neurology	334	304	339	265
	M_ObGyn, Reproductive Sciences	177	151	171	151
	M_Ophthalmology	64	76	59	64
	M_Orthopaedic Surgery	118	119	118	131
	M_Osher Center	-	94	6	67
	M_Otolaryngology	297	112	310	126
	M_Pathology	188	183	172	166
	M_PEDIATRICS	179	177	138	124
	M_Physical Therapy	1,143	n/a	1,186	n/a
	M_Physiology	79	81	98	96
	M_Psychiatry	156	147	141	134
	M_Radiation Oncology	221	216	190	194
	M_Radiology	179	169	178	168
	M_Regeneration Medicine	-	267	n/a	n/a
	M_Surgery	151	161	139	147
	M_Urology	238	220	223	205
M_School of Medicine Total		\$ 177	\$ 171	\$ 170	\$ 165
N_School of Nursing	N_Community Health Systems	376	541	555	562
	N_Dean's Office	-	-	4	-
	N_Family Health Care Nursing	338	448	285	386
	N_Institute for Health Aging	112	110	99	100
	N_Physiological Nursing	214	233	206	210
	N_Social Behavioral Sciences	151	126	145	104
N_School of Nursing Total		\$ 173	\$ 170	\$ 164	\$ 154
P_School of Pharmacy	P_Bioengineering	165	121	159	123
	P_Clinical Pharmacy	461	469	273	317
	P_Dean's Office	n/a	-	n/a	-
	P_Pharmaceutical Chemistry	104	111	107	115
P_School of Pharmacy Total		\$ 139	\$ 131	\$ 138	\$ 129
Z_Other Academic Units	E_Proctor Foundation	108	109	135	134
	E_QB3 Quantitative Biosci	137	137	137	137
	H_Global Health Sciences	2,671	1,780	4,682	1,511
Z_Other Academic Units Total		\$ 131	\$ 650	\$ 169	\$ 682
Grand Total		\$ 172	\$ 172	\$ 166	\$ 166

APPENDIX

Additional Comments

- Research space that is undergoing renovation (and is coded as such in the Archibus Space System) is excluded from the totals. Research space that is no longer under the ownership of an academic unit (i.e. returned to the Chancellor) is not included in the ICR Benchmarking System.
- This summary report focused only on the Home View reports, primarily as the Campus Space Committee has chosen those reports as providing the most representative metric to be used on the Campus. Complete Owner View reports are also available with the ICR Benchmarking System – details that highlight the key difference between the two systems is shown below.
- Finally, it is important to note that these reports focus primarily on ASF and ICR. However, additional information is available in the ICR Benchmarking System for MTDC and TDC per ASF ratios, as well as PI counts.

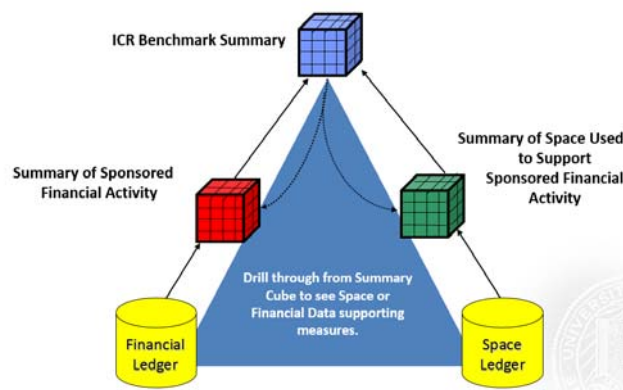
General Information about the ICR Benchmarking System

- The ICR Benchmarking System was developed to provide UCSF leadership and faculty with a standard approach for measuring performance of the space occupied by Principal Investigators (PI) for research. Conceptually, the model hinges on relating financial and space data at the department level. Understanding the measures is key to interpreting the resulting ratios.
- The model uses the following financial measures for sponsored agreements: Total Direct Costs (TDC); Modified Total Direct Costs (MTDC) and Indirect Cost Recovery (ICR).
- The measure for space used to support sponsored activity is Assignable Square Feet (ASF), includes all laboratory and laboratory support space and office space assigned to PIs that have sponsored activity.

Data Flow

- The following diagram highlights the two primary sources of data for the ICR Benchmarking System. Additional data is utilized from the Employee Database (EDB) tables.

ICR Benchmarking System Data Flow



Report Types

- The ICR Benchmarking System offers two different report types. Each type of report provides useful information and the totals for the two sets of reports are identical. The main difference is how the data is “sliced” and assigned to each PI or department.
- Home View reports are focused only on PIs whose “home” (primary appointment) is that department and shows all activity associated with those PIs. For example: If a PI with a primary appointment in Pediatrics has a grant/project owned by CVRI, those grant expenditures will track with CVRI in the Owner view, and with Pediatrics in the Home view. Home View data provides additional data clarity by showing Home Department activity and Other Department activity based on the Home Dept. of the Principal Investigator. For example, if you run a report for Anatomy, you will generate results only for those PIs whose Home Department is Anatomy. Important to note that a PI can have only one Home Department – and we obtain that Department from the Employee Database (EDB) tables at the end of each fiscal year.
- Owner View reports are focused on all activity associated with a specific department (Dept ID and project associated with the expenditures, and department that owns the space).

Definitions and Sources of Data:

Principal Investigators (PIs)

- Who Are They? PIs with sponsored financial activity and/or PIs with space used to support sponsored financial activity. Faculty and non-faculty status based on Office of Academic Personnel criteria at close of each fiscal year.
- Where Are They Counted? Principal Investigator (PI) is assigned a count of 1 only in his/her Home Department, regardless of which department owns the financial activity or space. PI Home Department: Based on Employee Database (EDB) tables at Fiscal Year close

Financial Data

- The campus general ledger (GL) is the source for financial data.
- Financial measures for sponsored activity are Total Direct Costs (TDC), Modified Total Direct Costs (MTDC) and Indirect Cost Recovery (ICR).
- ICR that is included in the ICR Benchmarking System is that in the core academic departments: Departments within the Schools of Dentistry, Medicine, Nursing and Pharmacy; Proctor, Global Health, and QB3.
- Sponsored financial activity is measured at year-end close for each fiscal year and categorized by sponsor group (Federal, Private, Local, State, etc.)
- PI assignment is based on the Project Manager in the GL Project table.

Space Data

- The source for space data is the campus planning space management system (Archibus).
- Space used to support sponsored financial activity is measured at the end of each fiscal year.
- PI assignment is based on PI Name field in the campus planning space system.

- Assignable Square Feet (ASF) includes office space assigned to PIs with active sponsored financial activity in the fiscal year and all rooms classified as laboratory research space (wet, dry, and support space).
- The following room types (based on HEGIS codes) are used to define sponsored space: 210 Research Lab; 211 Research Office; 225 Research Lab Service; 226 Research Office Service; 310 Academic Office; 320 Other Office; 335 Office Service. Codes 310, 320 and 335 are only counted as sponsored space if PI assigned has sponsored awards.

Known Limitations of Existing Data

- Data is a “snapshot” in time.
- Space data is dependent on input and annual updates by departments.
- Project Manager identification data is dependent on the accuracy of the Project table in the GL, which is populated during the award set-up.
- The current system cannot assign specific space to a specific sponsored award. Thus, a change in fund source does not change the amount of space assigned.
- Space and financial activity are not directly linked in all cases.
- HHMI space is included in the space management system, but HHMI financial data is not included in the general ledger.
- Clinical trial expenditures are included but clinical space utilized to conduct these trials is typically not included.
- Research expenditures shown are in current dollars and have not been adjusted for inflation.